

Quantum non-malleability and authentication – Information theoretic security + sneak preview teaser

based on [arXiv:1610.04214](#) and [arXiv:1709.06539](#)

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Joint work with Gorjan Alagic, NIST and University of Maryland,
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Quantum Innovators, IQC, University of Waterloo

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Motivation: a classical story...

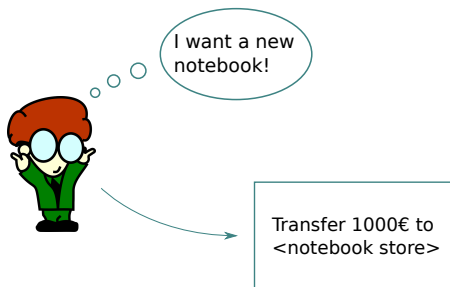
Crypto for bank transfers



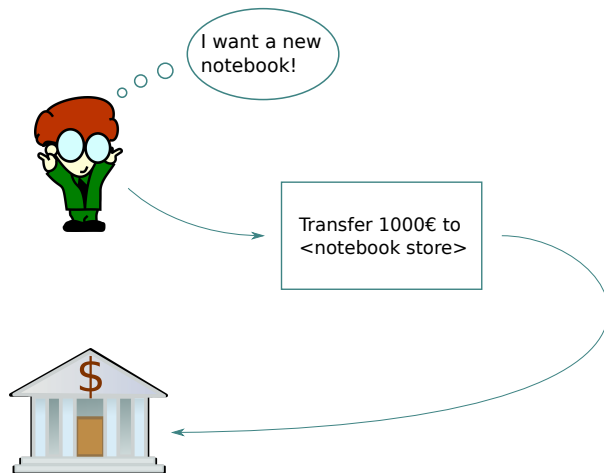
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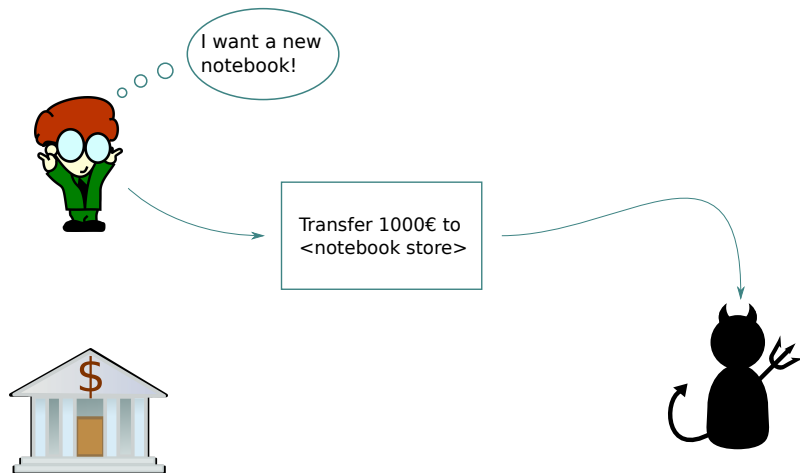
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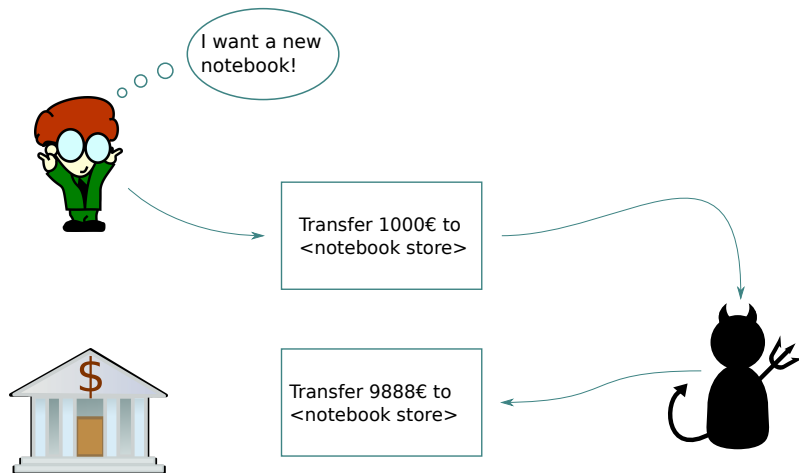
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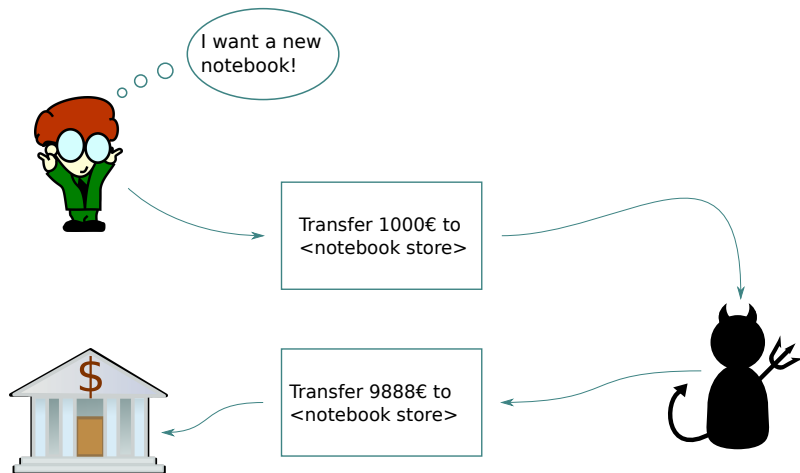
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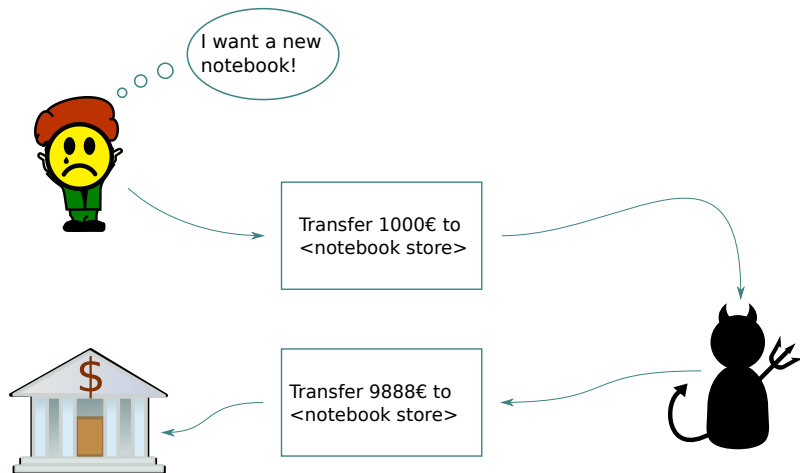
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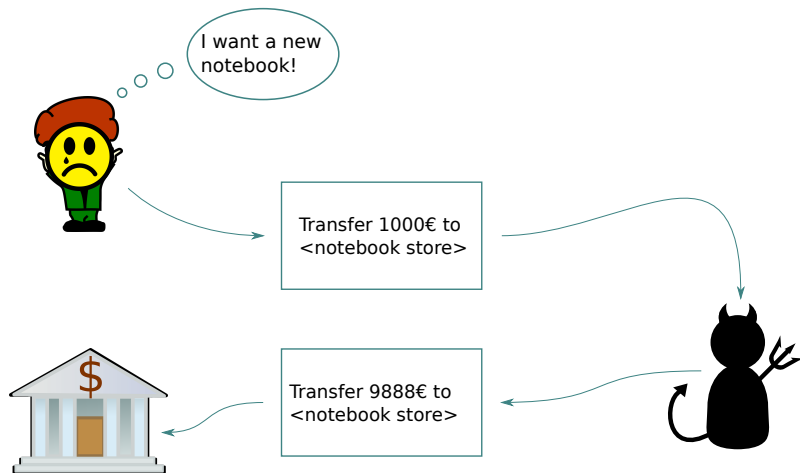
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- What cryptographic security notions would fix this problem?

Non-malleability

- ▶ One solution is non-malleable encryption:

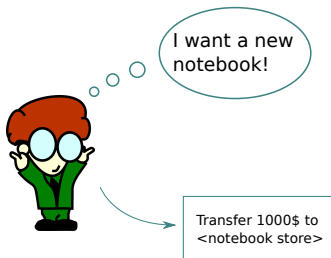
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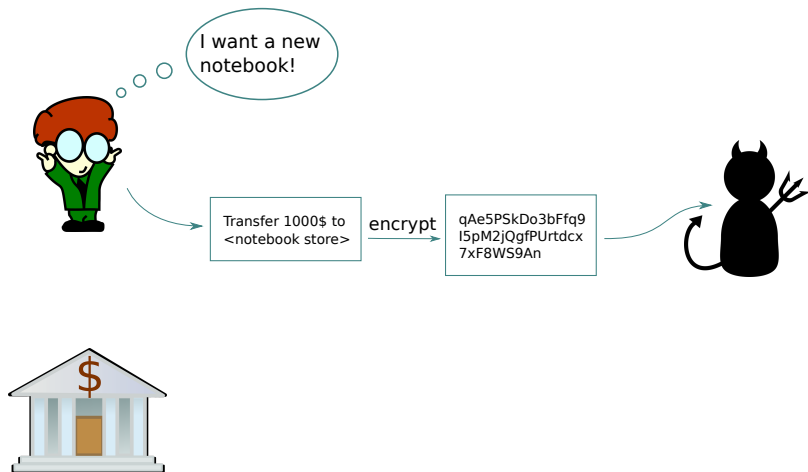
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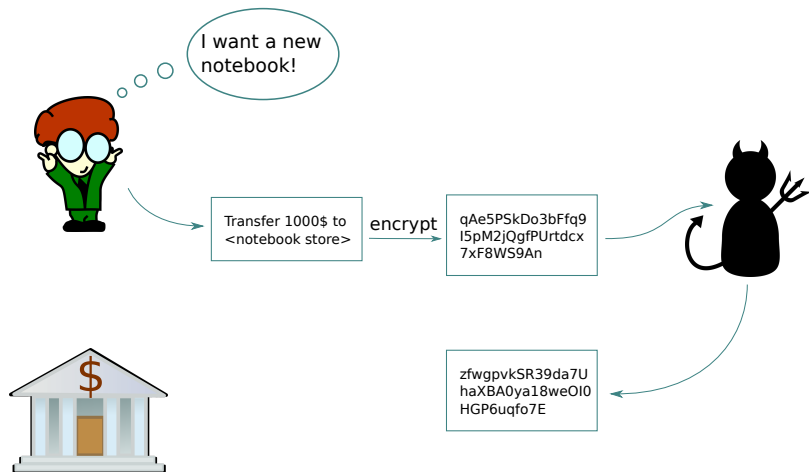
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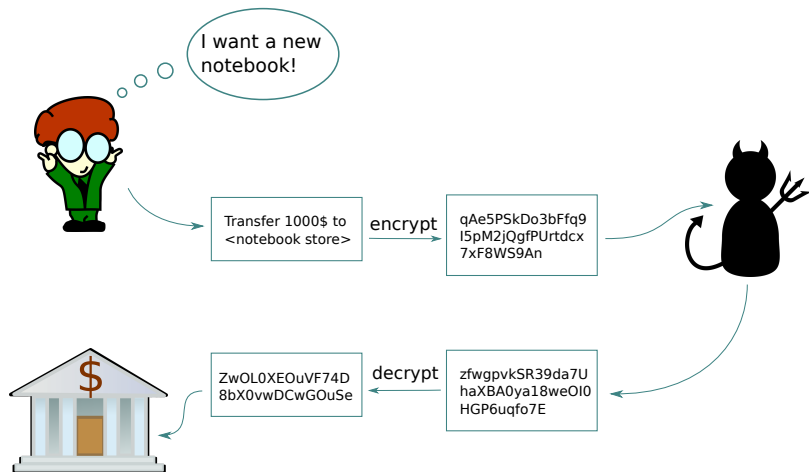
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Outline

Motivation: a classical story...

Non-malleability

Authentication

Teaser: Unforgeable quantum encryption

Non-malleability

classical non-malleability (NM)

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An encryption scheme is non-malleable if for any relation R on plaintexts, getting an encryption of x does not help with producing an encryption of $x' \neq x$ such that $R(x, x')$.

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- ▶ Information theoretic definition using entropy:

$(X, C), (\tilde{X}, \tilde{C})$ two plaintext ciphertext pairs, $C \neq \tilde{C}$

def: scheme is NM if $I(\tilde{X} : \tilde{C} | XC) = 0$ (Hanaoka et al. '02)

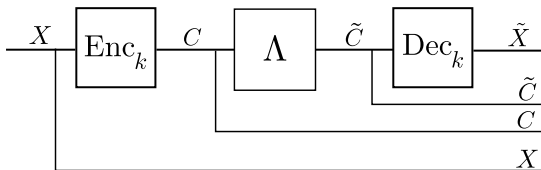
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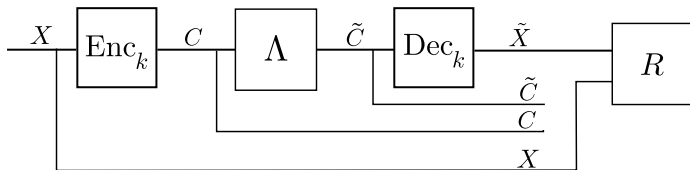
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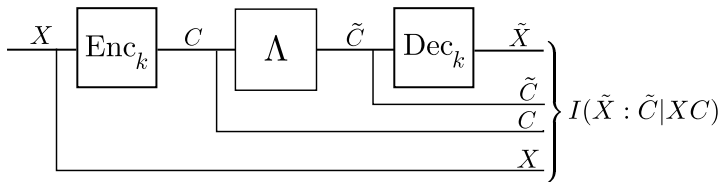
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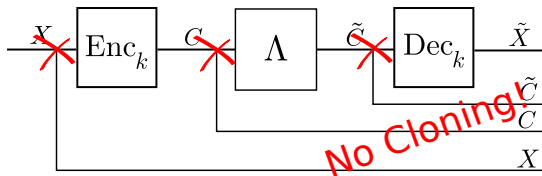
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- Quantum NM:



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def: Quantum encryption scheme: $(\text{Enc}_k, \text{Dec}_k)$

- ▶ classical uniformly random key k
- ▶ encryption map $(\text{Enc}_k)_{A \rightarrow C}$, decryption map $(\text{Dec}_k)_{C \rightarrow \bar{A}}$

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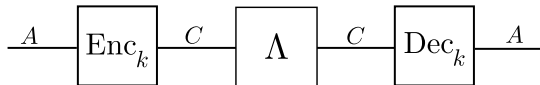
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- ▶ average encryption map: $\text{Enc}_K = \mathbb{E}_k \text{Enc}_k$

Setup for q-non-malleability

- Recall: classical non-malleability setup



Alice



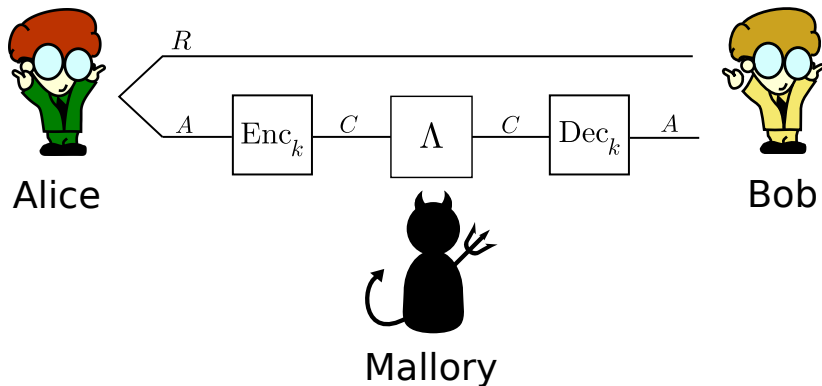
Bob



Mallory

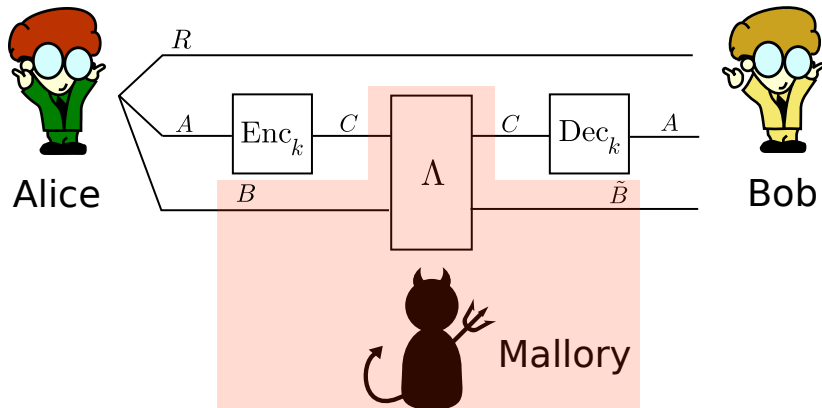
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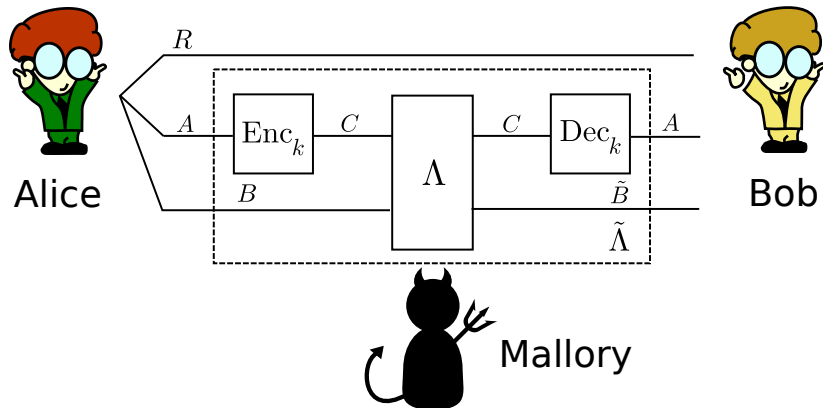


Setup for q-non-malleability

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def: effective map on plaintexts and side info

$$\tilde{\Lambda} = \mathbb{E}_k[\text{Dec}_k \circ \Lambda \circ \text{Enc}_k]$$



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$$\begin{aligned} p = (\Lambda_{CB \rightarrow C\tilde{B}}, \rho) &= \text{tr} [(\phi_{CC'}^+ \otimes \mathbb{1}_{\tilde{B}}) \Lambda_{CB \rightarrow C\tilde{B}} (\phi_{CC'}^+ \otimes \rho_B)] \\ &= F(\text{tr}_{\tilde{B}} \Lambda_{CB \rightarrow C\tilde{B}} (\phi_{CC'}^+ \otimes \rho_B), \phi_{CC'}^+)^2 \end{aligned}$$

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- ▶ "probability of Λ acting as the identity on C "
- $\Rightarrow p_{=}(\Lambda) = p$ for the example if $\text{tr}(U_C) = 0$.

New definition

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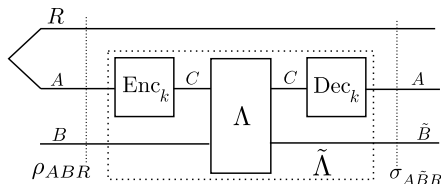
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Definition (Quantum non-malleability (qNM))

A scheme $\Pi = (\text{Enc}_k, \text{Dec}_k)$ is non-malleable, if for all states ρ_{ABR} and all attacks $\Lambda_{CB \rightarrow C\tilde{B}}$,

$$I(AR : \tilde{B})_{\sigma} \leq I(AR : B)_{\rho}$$

with $\sigma_{A\tilde{B}R} = \tilde{\Lambda}_{AB \rightarrow A\tilde{B}}(\rho_{ABR})$.



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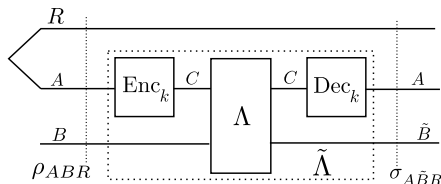
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$$p_=(\Lambda, \rho) = F(\text{tr}_{\tilde{B}} \Lambda_{CB \rightarrow C\tilde{B}}(|\phi^+\rangle\langle\phi^+|_{CC'} \otimes \rho_B), |\phi^+\rangle\langle\phi^+|_{CC'})^2$$

Comparison to previous definition

Definition (ABW-NM, Ambainis, Bouda, Winter '09)

Let $\Pi = (\text{Enc}_k, \text{Dec}_k)$ be a quantum encryption scheme. Π is ABW-NM if

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⇒ Ciphertext non-malleability!

The new definition

- ... allows adversaries with side information
- ... prevents plaintext injection attack
- ... provides *ciphertext* non-malleability

while ABW-NM does not.

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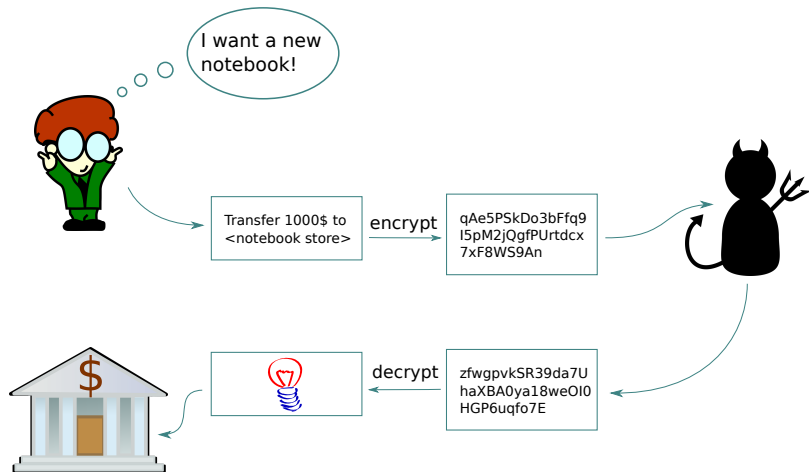
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- ▶ qNM serves as primitive for quantum authentication schemes
 \Rightarrow second part of the talk

Summary non-malleability

	ABW-NM	qNM
assumes secrecy	✓	✗
implies secrecy	✗	✓
secure against plaintext injection	✗	✓
primitive for authentication	✗	✓

Authentication

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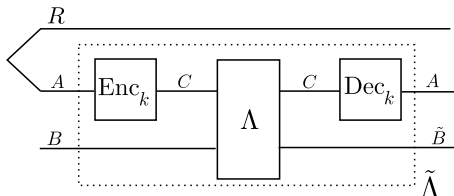
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Definition (GYZ Authentication; Garg, Yuen and Zhandry)

$\Pi = (\text{Enc}_k, \text{Dec}_k)$ is ε -GYZ-authenticating if, for any attack $\Lambda_{CB \rightarrow CB'}$, there exists $\Lambda_{B \rightarrow \tilde{B}}^{acc}$ such that for all ρ_{AB}

$$\mathbb{E}_k \left[\left\| \Pi_{acc} [\text{Dec}_k \circ \Lambda \circ \text{Enc}_k(\rho_{AB})] \Pi_{acc} - (\text{id}_A \otimes \Lambda^{acc})(\rho_{AB}) \right\|_1 \right] \leq \varepsilon$$

with $\Pi_{acc} = \mathbb{1} - \perp$.



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GYZ-authentication with 2-designs

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Theorem (Alagic, CM)

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- ▶ Independently proven by Portmann '16
- ▶ advantages: shorter keys, nice constructions (Clifford group)

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want to bound

$$\mathbb{E}_k \left[\left\| \langle 0 |_T U_k^\dagger V_{CB \rightarrow C\tilde{B}} U_k (|\psi\rangle_{AB} \otimes |0\rangle_T) - \mathbb{1}_A \otimes \Gamma_{B \rightarrow \tilde{B}}^V |\psi\rangle_{AB} \right\|_2^2 \right]$$

Proof sketch

want to "decouple the adversary"

consider pure states and attack isometries (Stinespring)

Simulator for an attack isometry $V_{CB \rightarrow C\tilde{B}}$:

$$\Gamma_{B \rightarrow \tilde{B}}^V = \text{tr}_C V_{CB \rightarrow C\tilde{B}}$$

same simulator as used by GYZ, introduced by Broadbent and Wainwright '16

want to bound

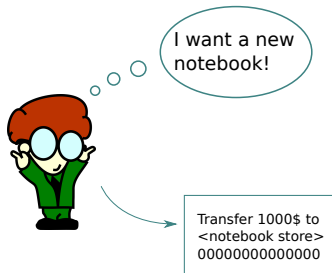
$$\mathbb{E}_k \left[\left\| \langle 0 |_T U_k^\dagger V_{CB \rightarrow C\tilde{B}} U_k (|\psi\rangle_{AB} \otimes |0\rangle_T) - \mathbb{1}_A \otimes \Gamma_{B \rightarrow \tilde{B}}^V |\psi\rangle_{AB} \right\|_2^2 \right]$$

Use "swap trick" $\text{tr} A_X B_X = \text{tr} S_{XX'} A_X \otimes B_{X'}$ and Schur's lemma for $U \mapsto U \otimes U$

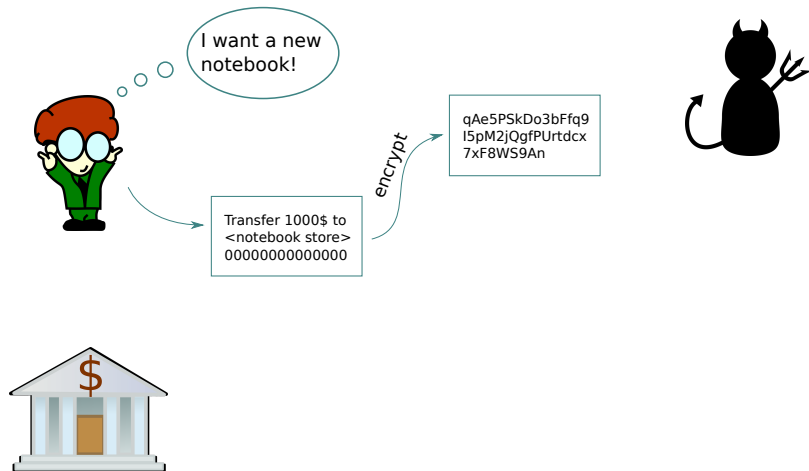
Authentication from NM: Intuition



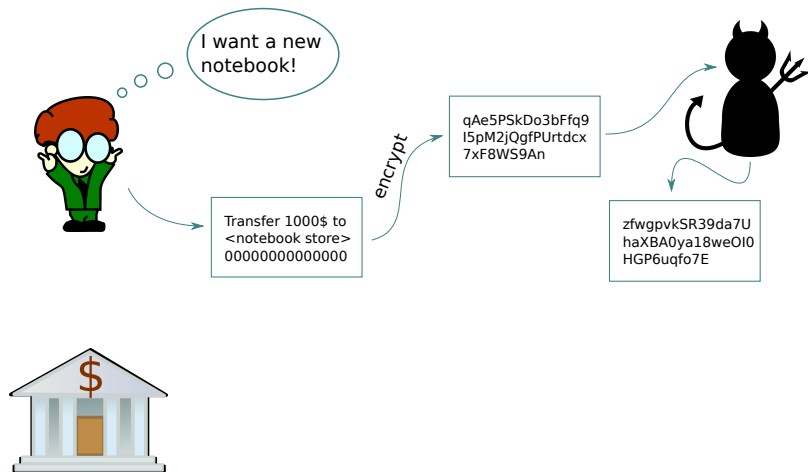
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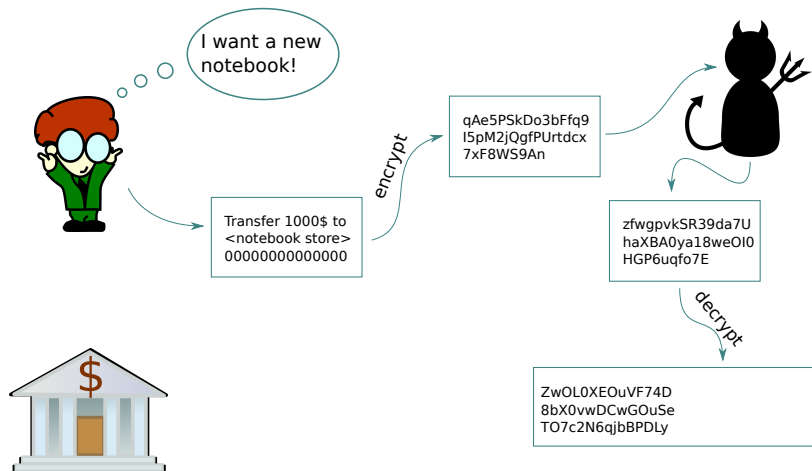
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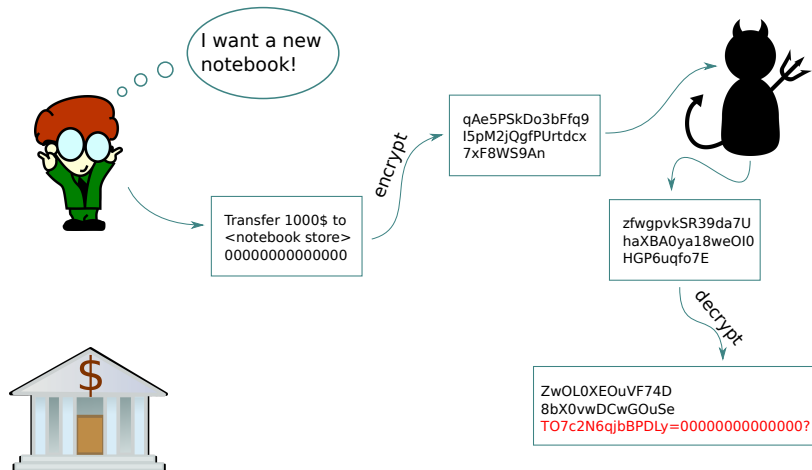
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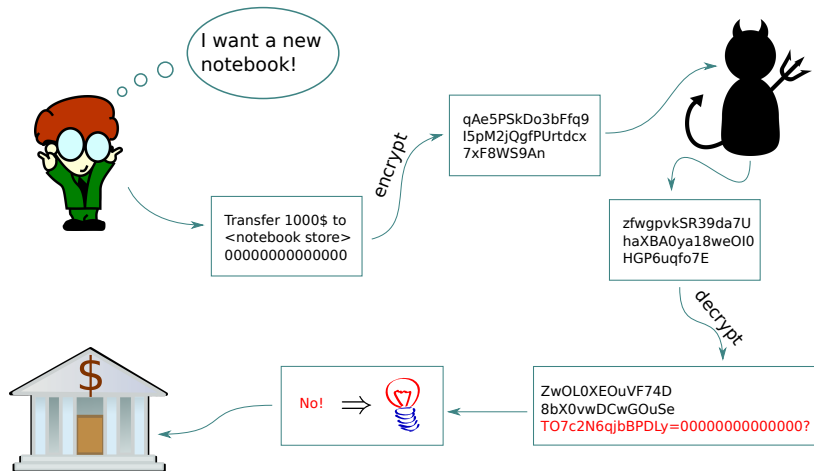
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Authentication from NM: Intuition



Theorem (Alagic, CM)

Adding a constant tag to a quantum message and encrypting it with an qNM scheme achieves DNS-authentication

Summary authentication

- ✓ DNS authentication from qNM schemes via tagging
- ✓ GYZ authentication from 2-designs instead of 8-designs

Teaser: Unforgeable quantum encryption

- ▶ one-time pad: $\text{Enc}_k(m) = m \oplus k$, $\text{Dec}_k(c) = c \oplus k$

Adversaries with oracles

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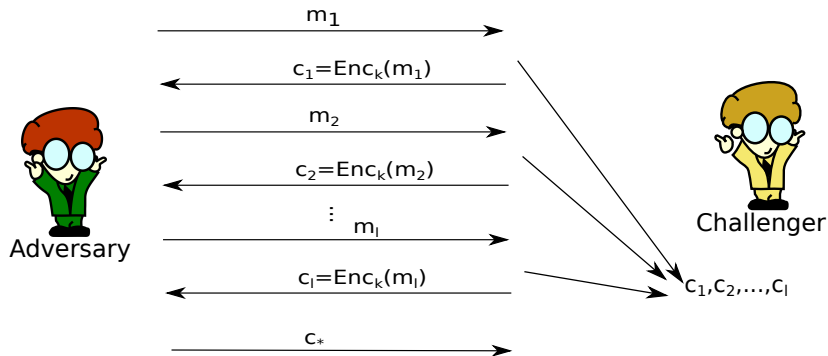
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- ▶ quantum case: Alagic, Broadbent, Fefferman, Gagliardoni, Schaffner, St. Jules '16

CPA-ciphertext unforgeability

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CPA-ciphertext unforgeability

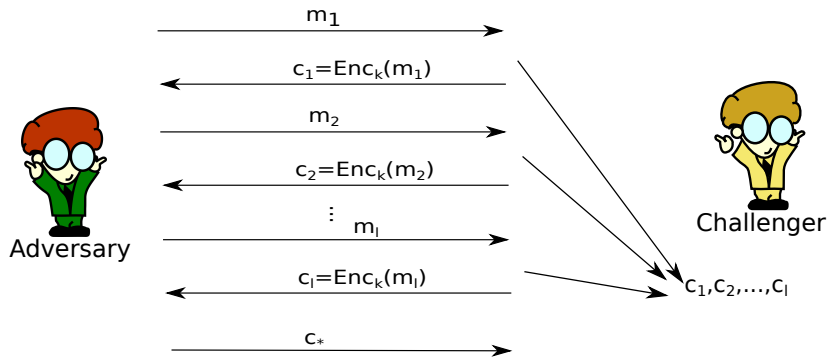
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- ▶ No-cloning problem 2.0!

Quantum plaintext unforgeability

Recall: authentication implies secrecy

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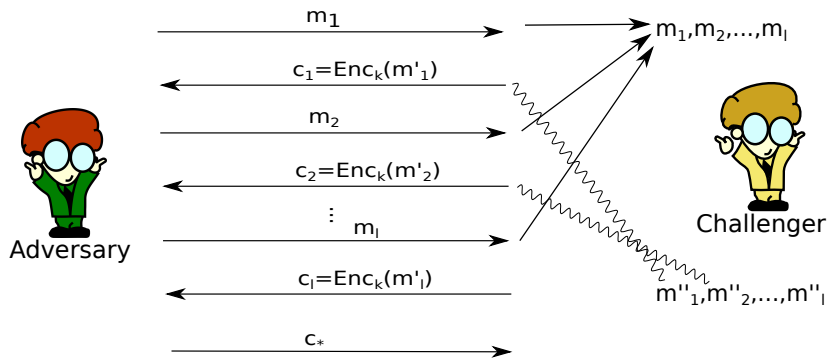
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expect Quantum ciphertext authentication to imply IND-CPA

Quantum plaintext unforgeability

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expect Quantum ciphertext authentication to imply IND-CPA



- Adversary wins if $m_* = \text{Dec}_k(c_*) \neq \perp$ and measurement $|\phi\rangle\langle\phi|_{m_* m''_i}^+$ vs $\mathbb{1} - |\phi\rangle\langle\phi|_{m_* m''_i}^+$ yields the second outcome for all i

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- ▶ Gentle measurement lemma \Rightarrow negligible disturbance!
- ▶ problem only arises in plaintext unforgeability scenario

What more

- ▶ Enc_k is invertible on its image with CPTP inverse Dec_k
- $\Rightarrow \text{Enc}_k(X) = U_k(X \otimes \sigma_k)U_k^\dagger$

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- ▶ use to define quantum ciphertext unforgeability (QUF)
 - ▶ works for small message sizes (no disturbance problem)
 - ▶ same techniques: quantum indistinguishability under adaptive chosen ciphertext attacks, quantum authenticated encryption

Open questions

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- Q How powerful is QUF? It does not consider side information with forgery...
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Thanks!